# **RESEARCH ARTICLE**

**Open Access** 

# Incidence and risk factors of proximal junctional kyphosis in adolescent idiopathic scoliosis after correction surgery: a meta-analysis and systematic review



XingHua Ji<sup>1,2</sup>, LinDong Wei<sup>1,2</sup>, ZeJun Xing<sup>1,2\*</sup> and YuChen Duan<sup>1,2</sup>

# Abstract

**Aim** To analyze the risk factors of proximal junctional kyphosis (PJK) after correction surgery in patients with adolescent idiopathic scoliosis (AIS).

**Methods** PubMed, Medline, Embase, Cochrane Library, Web of Science, CNKI, and EMCC databases were searched for retrospective studies utilizing all AIS patients with PJK after corrective surgery to collect preoperative, postoperative, and follow-up imaging parameters, including thoracic kyphosis (TK), lumbar lordosis (LL), proximal junctional angle (PJA), the sagittal vertical axis (SVA), pelvic incidence (PI), pelvic tilt (PT), pelvic incidence–lumbar lordosis (PI–LL), sacral slope (SS), rod contour angle (RCA) and upper instrumented vertebra (UIV).

**Results** Nineteen retrospective studies were included in this meta-analysis, including 550 patients in the intervention group and 3456 patients in the control group. Overall, sex (OR 1.40, 95% CI (1.08, 1.83), P=0.01), larger preoperative TK (WMD 6.82, 95% CI (5.48, 8.16), P < 0.00001), larger follow-up TK (WMD 8.96, 95% CI (5.62, 12.30), P < 0.00001), larger postoperative LL (WMD 2.31, 95% CI (0.91, 3.71), P=0.001), larger follow-up LL (WMD 2.51, 95% CI (1.19, 3.84), P=0.0002), great change in LL (WMD -2.72, 95% CI (-4.69, -0.76), P=0.006), larger postoperative PJA (WMD 4.94, 95% CI (3.62, 6.26), P < 0.00001), larger follow-up PJA (WMD 13.39, 95% CI (11.09, 15.69), P < 0.00001), larger postoperative PJA (WMD -9.57, 95% CI (-17.42, -1.71), P=0.02), larger follow-up PI–LL (WMD -12.62, 95% CI (-17.62, -7.62), P < 0.00001), larger preoperative SVA (WMD 0.73, 95% CI (0.26, 1.19), P=0.002), larger preoperative SS (WMD -3.43, 95% CI (-4.71, -2.14), P < 0.00001), RCA (WMD 1.66, 95% CI (0.48, 2.84), P=0.006) were identified as risk factors for PJK in patients with AIS. For patients with Lenke 5 AIS, larger preoperative TK (WMD 7.85, 95% CI (5.69, 10.00), P < 0.00001), larger prostoperative PJA (WMD 0.72, 95% CI (0.03, 1.41), P = 0.04, larger postoperative PJA (WMD 5.54, 95% CI (3.57, 7.52), P < 0.00001, larger follow-up PJA (WMD 12.42, 95% CI 9.24, 15.60), P < 0.00001, larger follow-up SVA (WMD 0.07, 95% CI (-0.46, 0.60), P=0.04, larger preoperative PJA (WMD 5.54, 95% CI (3.57, 7.52), P < 0.00001, larger follow-up PJA (WMD 12.42, 95% CI 9.24, 15.60), P < 0.00001, larger follow-up SVA (WMD 0.07, 95% CI (-0.46, 0.60), P=0.04, larger preoperative PJA (WMD 5.54, 95% CI (3.57, 7.52), P < 0.00001, larger follow-up PJA (WMD 12.42, 95% CI 9.24, 15.60), P < 0.00001, larger follow-up SVA (WMD 0.07, 95% CI (-0.46, 0.60), P=0.04, larger preoperative PJA (WMD 5.54, 95% CI (-0.46, 0.60), P=0.04, larger preoperative PJK.

**Conclusion** Following corrective surgery, 19% of AIS patients experienced PJK, with Lenke 5 contributing to 25%. Prior and post-op measurements play significant roles in predicting PJK occurrence; thus, meticulous, personalized

\*Correspondence: ZeJun Xing 18735130965@163.com Full list of author information is available at the end of the article



© The Author(s) 2024. **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/. The Creative Commons Public Domain Dedication waiver (http://creativecommons.org/publicdomain/zero/1.0/) applies to the data made available in this article, unless otherwise stated in a credit line to the data.

preoperative planning is crucial. This includes considering individualized treatments based on the Lenke classification as our future evaluation standard.

Keywords Adolescent idiopathic scoliosis, Proximal junctional kyphosis, Risk factor, Meta-analysis

# Introduction

The most prevalent type of scoliosis is adolescent idiopathic scoliosis (AIS), which affects more girls than boys globally and has a prevalence of 0.47-5.2% [1]. Tortuosity occurs during pubertal development, and it is manifested as transverse and horizontal torsion deformity of the thoracic and/or lumbar vertebrae. The severity of the deformity is inversely proportional to the overall balance control ability of the spine [2]. Severe AIS may lead to razor back deformity, intervertebral disc degeneration, cervical kyphosis, and late decompensation [3]. Moreover, it can even lead to cardiopulmonary insufficiency and irreversible nerve damage [4], as well as affect [5]. The current treatment methods include surgery and conservative orthosis treatment, whereby the posterior approach is the most common surgical procedure. A long-term follow-up study of AIS has shown [6] that spinal correction surgery can preserve the good balance of the spine while maintaining aesthetics and improving the quality of life of patients.

After spinal correction, there is a chance of early surgical complications. Proximal junctional kyphosis is one of the most typical consequences (PJK) [7], with an incidence ranging from 9.2 to 61.7% [8]. Proximal junctional kyphosis (PJK) was defined as the final proximal junctional sagittal Cobb Angle (PJA) between the lower-end plate of the upper vertebra (UIV) and the upper-end plate of UIV + 2,  $\geq 10^{\circ}$  compared to the preoperative measurement [9]. The usual manifestation of PJK is a kyphotic change in the disc space above the fusion [10], leading to impaired sagittal balance, vertebral collapse, and neuropathy. In more severe cases, revision surgery is required [11]. The occurrence of junctional kyphosis after orthopedic surgery is closely related to multiple AIS risk factors, including advanced age, osteopenia, obesity, and the severity of preoperative sagittal imbalance and intraoperative correction [12], but it has not been fully elucidated.

In order to prevent PJK, lessen the long-term consequences of spinal deformity surgery, and improve the physical function of patients by identifying the risk factors of complications, this meta-analysis has been carried out on patients with AIS to investigate the incidence and risk factors of PJK after orthopedic surgery.

## **Materials and methods**

A research protocol was registered through PROS-PERO: International Prospective Register of Systematic Reviews (protocol CRD42023416848) and completed conforming to the Preferred Reporting Items for Reviews and Meta-Analyses (PRISMA) guidelines for systematic review.

# Literature search

Studies were identified through a systematic literature search of online databases: PubMed, Medline, Embase, Cochrane Library, Web of Science, CNKI, and EMCC. An electronic database search for full-text articles and published abstracts from the inception of each database to April 2023 was conducted. The search was not limited by factors such as language, geographic origin, date of publication, or study type. For database searches, the following main keywords were the following text words: "Adolescent Idiopathic Scoliosis" OR "AIS" AND "Proximal junctional kyphosis" OR "PJK."

#### Inclusion and exclusion criteria

All available studies were included in patients with AIS and PJK who underwent corrective surgery. PJK was defined by the presence of two criteria: (1) a proximal junction sagittal Cobb angle of  $\geq 10^{\circ}$  and (2) a postoperative proximal junction sagittal Cobb angle at least 10° greater than the measurement preoperatively [9]. Inclusion criteria: (1) underwent the same posterior approach; (2) divided into PJK groups and non-PJK groups; (3) sufficient data. Exclusion criteria: (1) patients with prior spinal surgery, anterior release, congenital scoliosis, incomplete spine, and those related to syndromes including Ehlers-Danlos Syndrome were excluded; (2) no available data; (3) duplicate report, pure summary, case report, and conference paper.

#### **Data extraction**

Basic demographic data were gathered, including age, sex, body mass index, and follow-up time. A full-spine frontal and lateral radiography study was completed preoperatively, postoperatively, and at the final followup. Radiographic parameters included thoracic kyphosis (TK), lumbar lordosis (LL), proximal junctional angle (PJA), the sagittal vertical axis (SVA), pelvic incidence (PI), pelvic tilt (PT), pelvic incidence–lumbar lordosis (PI–LL), sacral slope (SS), rod contour angle (RCA) and upper instrumented vertebra (UIV).

#### Study selection and data extractions

From the literature search, 322 abstracts of studies were retrieved and independently screened for inclusion. The information extracted included study general study (title, author and year), study characteristics (Lenke type, country, type of study design and follow-up month), and the number of cases (Table 1). 279 articles were excluded by reading the abstracts for any one of the following reasons: nonrelevant material, articles with unavailable data and duplicate studies. Therefore, 19 full-text articles were reviewed for inclusion. All studies met the inclusion criteria and were subsequently reviewed and analyzed.

The authors independently implemented the Newcastle–Ottawa Scale Assessment Scale (NOSSA) to assess for the following biases: selection, comparability, and outcome (Table 2). Consequently, the quality of evidence for this study was deemed high.

#### Quality assessment and statistical analysis

All meta-analyses were performed using Review Manager 5.3 (Cochrane Collaboration, Oxford, UK). Continuous

```
and dichotomous variables were analyzed using weighted
mean differences (WMDs) and risk ratios (ORs) with
95% confidence intervals (CIs), respectively. The statis-
tical heterogeneity was quantified using the I<sup>2</sup>. The ran-
dom-effects model was used if there was heterogeneity
between studies (I<sup>2</sup> > 50%); otherwise, the fixed-effects
model was used (I<sup>2</sup> < 50%). The random or fixed-effects
model is determined by comparing the significant differ-
ence in the combination graph (Fig. 6, etc.).
```

#### Results

# Selection of studies for inclusion in the systematic review and meta-analysis

The detailed study selection process is documented in the PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) flowchart. The search strategy is illustrated in Fig. 2. The initial systematic literature search yielded 323 publications. The full texts of 43 publications were examined, and 19 investigations were discarded.

5 papers were ineligible for the following reasons: 1 paper did not provide complete data for this metaanalysis, 1 paper without a control group, 1 paper with no explicit grouping, and 2 papers for other reasons. 19 studies that satisfied the screening requirements were selected for this meta-analysis (Fig. 1).

#### Table 1 General features included in the study

Study Lenke type Country **Research type** Follow-up month (m) Cases PJK Non-PJK 8 17 Amanullah 2022 [13] USA Retrospective study Minimum 24 Boeckenfoerde 2022 [14] Switzerland Retrospective study Minimum 27 30 139 Chen 2019 [15] 5 China Retrospective study Minimum 24 12 21 Chen J 2021 [16] 5 Minimum 24 15 20 China Retrospective study Minimum 24 102 Clément 2021 [17] 1,2,3,4,6 France Retrospective study 468 Ferrero 2018 [18] 1,2 France Retrospective study Minimum 24 57 308 Ghailane 2017 [19] 1,2,3,4,6 France Retrospective study Average 18 (range, 10-26) 5 45 Helgeson 2010 [20] USA Retrospective study Minimum 24 8 275 5C 75 Hu 2022 [21] China Retrospective study Minimum 24 23 Kim 2007 [22] USA Minimum 24 111 299 Retrospective study Kim 2021 [23] Switzerland Retrospective study Minimum 60 7 \_ 62 Li 2020 [24] 5 China Retrospective study Minimum 12 10 34 Lonner 2017 [25] USA Retrospective study Minimum 24 60 791 USA 15 330 Ogura 2021 [26] 1,2,3 Retrospective study Minimum 12 Pahys 2018 [27] \_ USA Retrospective study Minimum 24 6 348 5 12 40 Wang 2020 [28] China Retrospective study Minimum 24 Wang J 2020 [29] China Retrospective study Minimum 18 21 75 Zhao 2018 [30] 5 52 China Retrospective study Minimum 24 35 Zhou 2021 [31] 5 China Minimum 24 13 57 Retrospective study

Study	Selection				Comparability	Exposure	Scores		
	Adequate definition of cases	Representativeness of cases	Selection of controls	Definition of controls	Control for important factor	Ascertainment of exposure	Same methods of ascertainment for cases and controls	Non- response rate	
Amanullah 2022	1	1	1	1	2	1	1	1	9
Boeckenfo- erde 2022	1	1	1	1	2	1	1	1	9
Chen 2019	1	1	1	1	2	1	1	1	9
Chen J 2021	1	1	1	1	2	1	1	1	9
Clément 2021	1	1	1	1	2	1	1	1	9
Ferrero 2018	1	1	1	0	2	1	1	1	8
Ghailane 2017	1	0	1	0	2	1	1	1	7
Helgeson 2010	1	1	0	1	2	1	1	1	8
Hu 2022	1	0	1	1	2	1	1	1	8
Kim 2007	1	1	0	1	2	1	1	1	8
Kim 2021	1	1	1	1	2	1	1	1	9
Li 2020	1	1	1	1	2	1	1	1	9
Lonner 2017	1	1	0	1	2	1	1	1	8
Ogura 2021	1	1	1	1	2	1	1	1	8
Pahys 2018	1	1	0	1	2	1	0	1	8
Wang 2020	1	1	1	1	2	1	1	1	9
Wang J 2020	1	1	1	1	2	1	0	1	8
Zhao 2018	1	1	0	1	2	1	1	1	8
Zhou 2021	1	1	1	1	2	1	0	1	8

Table 2 Results of bias risk assessment in included case-control studies

#### **Risk factors**

A total of 550 patients with AIS had PJK after undergoing correction surgery. The overall pooled incidence of PJK was 19% (95% CI 13–25%) based on the 19 studies (Fig. 2). Our results showed that age (WMD –0.22, 95% CI (–0.44, 0.00), P=0.05) (Fig. 3) and body mass index (WMD 0.27, 95% CI (–0.31, 0.86), P=0.36) (Fig. 4) were not significantly associated with PJK. Sex (OR 1.40, 95% CI (1.08, 1.83), P=0.01) (Fig. 5) is significantly associated with PJK.

Regarding radiographic parameters, meta-analysis results indicated that larger preoperative TK (WMD 6.82, 95% CI (5.48, 8.16), P < 0.00001) (Fig. 6), larger follow-up TK (WMD 8.96, 95% CI (5.62, 12.30), P < 0.00001) (Fig. 6), larger postoperative LL (WMD 2.31, 95% CI (0.91, 3.71),

*P*=0.001) (Fig. 7), larger follow-up LL (WMD 2.51, 95% CI (1.19, 3.84), *P*=0.0002) (Fig. 7), great change in LL (WMD - 2.72, 95% CI (-4.69, -0.76), *P*=0.006) (Fig. 7), larger postoperative PJA (WMD 4.94, 95% CI (3.62, 6.26), *P*<0.00001) (Fig. 8), larger follow-up PJA (WMD 13.39, 95% CI (11.09, 15.69), *P*<0.00001) (Fig. 8), larger postoperative PI-LL (WMD - 9.57, 95% CI (-17.42, -1.71), *P*=0.02) (Fig. 9), larger follow-up PI-LL (WMD - 12.62, 95% CI (-17.62, -7.62), *P*<0.00001) (Fig. 9), larger preoperative SS (WMD - 3.43, 95% CI (-4.71, -2.14), *P*<0.0001) (Fig. 12) were identified as risk factors for PJK in patients with AIS.



Fig. 1 Literature screening flow chart and results

However, no significant associations were discerned between postoperative TK (WMD 4.46, 95% CI (-0.47, 9.39), P=0.08) (Fig. 6), change in TK (WMD -3.00, 95% CI (-7.47, 1.46), P=0.19) (Fig. 6), preoperative LL (WMD 1.01, 95% CI (-0.26, 2.28), P=0.12) (Fig. 7), preoperative PJA (WMD 1.48, 95% CI (-1.79, 4.75), P=0.38) (Fig. 8), preoperative SVA (WMD 0.05, 95% CI (-0.84, 0.93), P=0.92) (Fig. 10), follow-up SVA (WMD 0.24, 95% CI (-0.67, 1.14), P=0.61) (Fig. 10), preoperative PI (-3.46 1.01, 95% CI (-6.89, -0.02), P=0.05) (Fig. 13), postoperative PI (WMD -2.82, 95% CI (-7.44, 1.80), P=0.23) (Fig. 13), follow-up PI (WMD -2.17,

95% CI (-6.42, 2.08), P=0.32) (Fig. 13), preoperative PT (WMD 0.61, 95% CI (-2.72, 3.94), P=0.72) (Fig. 14), postoperative PT (WMD - 2.61, 95% CI (-5.16, -0.05), P=0.05) (Fig. 14), follow-up PT (WMD - 1.87, 95% CI (-4.05, 0.30), P=0.09) (Fig. 14), preoperative PI-LL (WMD - 4.96, 95% CI (-12.07, 2.15), P=0.17) (Fig. 9), postoperative SS (WMD - 0.21, 95% CI (-1.87, 1.45), P=0..80) (Fig. 11), follow-up SS (WMD 0.22, 95% CI (-1.07, 1.51), P=0.74) (Fig. 11), postoperative PJA-RCA (WMD 1.27, 95% CI (-1.05, 3.60), P=0.28) (Fig. 15), UIV (WMD 0.69, 95% CI (0.18, 2.68), P=0.59) (Fig. 16) and occurrence of PJK.

				Risk Difference	Risk Difference
Study or Subgroup	Risk Difference	SE	Weight	IV, Random, 95% Cl	IV, Random, 95% Cl
Amanullah 2022	0.32	0.093	3.8%	0.32 [0.14, 0.50]	
Boeckenfoerde 2022	0.156	0.028	5.6%	0.16 [0.10, 0.21]	
Chen 2019	0.3636	0.084	4.1%	0.36 [0.20, 0.53]	
Chen J 2021	0.4286	0.084	4.1%	0.43 [0.26, 0.59]	
Clément 2021	0.18	0.021	5.7%	0.18 [0.14, 0.22]	-
Ferrero 2018	0.017	0.007	5.8%	0.02 [0.00, 0.03]	-
Ghailane 2017	0.028	0.023	5.7%	0.03 [-0.02, 0.07]	+
Helgeson 2010	0.1	0.018	5.7%	0.10 [0.06, 0.14]	-
Hu 2022	0.2347	0.051	5.0%	0.23 [0.13, 0.33]	
Kim 2007	0.071	0.013	5.8%	0.07 [0.05, 0.10]	+
Kim 2021	0.101	0.042	5.3%	0.10 [0.02, 0.18]	
Li 2020	0.2273	0.042	5.3%	0.23 [0.14, 0.31]	
Lonner 2017	0.402	0.017	5.7%	0.40 [0.37, 0.44]	-
Ogura 2021	0.043	0.031	5.5%	0.04 [-0.02, 0.10]	+
Pahys 2018	0.156	0.019	5.7%	0.16 [0.12, 0.19]	-
Wang 2020	0.23	0.018	5.7%	0.23 [0.19, 0.27]	-
Wang J 2020	0.22	0.042	5.3%	0.22 [0.14, 0.30]	
Zhao 2018	0.271	0.048	5.1%	0.27 [0.18, 0.37]	
Zhou 2021	0.186	0.047	5.1%	0.19 [0.09, 0.28]	
Total (95% CI)			100.0%	0.19 [0.13, 0.25]	•
Heterogeneity: Tau <sup>2</sup> = 0	1.02; Chi² = 623.91	, df = 18	(P < 0.00	0001); I² = 97%	
Test for overall effect: Z	= 6.15 (P < 0.0000	01)			Favours (experimental) Favours (control)

Fig. 2 Pooled incidence of proximal junctional kyphosis

	PJł	( Grou	р	Non-P	JK Gro	oup		Mean Difference	Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Fixed, 95% Cl	IV, Fixed, 95% Cl
Boeckenfoerde 2022	16.9	8.66	30	16.1	4.36	139	0.5%	0.80 [-2.38, 3.98]	
Chen 2019	13.58	3.2	12	15.19	6.43	21	0.5%	-1.61 [-4.90, 1.68]	
Chen J 2021	15.7	1.9	15	15.7	2.1	20	2.8%	0.00 [-1.33, 1.33]	
Ferrero 2018	14.7	2.5	57	15	2.4	308	10.0%	-0.30 [-1.00, 0.40]	
Hu 2022	15.3	2.6	23	15.7	2.6	75	3.3%	-0.40 [-1.61, 0.81]	
Kim 2007	14.5	1.83	111	14.8	2.03	299	29.3%	-0.30 [-0.71, 0.11]	
Kim 2021	13.9	0.9	7	14.2	2.2	62	6.6%	-0.30 [-1.16, 0.56]	
Li 2020	17.5	3.31	10	18.5	3.71	34	0.9%	-1.00 [-3.40, 1.40]	
Lonner 2017	14.57	2.26	60	14.42	2.08	791	14.2%	0.15 [-0.44, 0.74]	_ <del></del>
Ogura 2021	14.5	2.1	15	14.5	2.2	330	4.2%	0.00 [-1.09, 1.09]	
Wang J 2020	14.48	1.5	21	14.62	1.28	75	10.0%	-0.14 [-0.84, 0.56]	
Zhao 2018	13.26	1.18	35	13.62	1.3	52	17.8%	-0.36 [-0.89, 0.17]	+
Total (95% CI)			396			2206	100.0%	-0.22 [-0.44, 0.00]	•
Heterogeneity: Chi <sup>2</sup> = 3	.89, df=	11 (P	= 0.97)	; l² = 0%					
Test for overall effect: Z	.= 1.94 (	P = 0.0	D5) .						-4 -2 U 2 4
									Favours (experimental) Favours (control)

Fig. 3 Forest plot of age between the proximal junctional kyphosis (PJK) group and the non-PJK



Fig. 4 Forest plot of BMI between the proximal junctional kyphosis (PJK) group and the non-PJK



**Fig. 5** Forest plot of proximal junctional kyphosis between the male and female groups

# Subgroup analysis

According to the subgroup analysis of AIS classification, it was found that the probability of occurrence of PJK in Lenke 5 type (25%, 95% CI 21–29%) (Fig. 17) was significantly higher than that in other types. Sex in the subgroup (Fig. 18) was not a risk factor for PJK after Lenke 5 AIS. Age (WMD – 0.37, 95% CI (– 0.81, 0.07), P=0.10) (Additional file 1: Figure S1) was not a risk factor for postoperative PJK.

Regarding radiographic parameters, meta-analysis results indicated that larger preoperative TK (WMD 7.85, 95% CI (5.69, 10.00), *P*<0.00001) (Additional file 1: Figure S2), larger postoperative TK (WMD 9.66, 95% CI (1.06, 18.26), P=0.03) (Additional file 1: Figure S2), larger follow-up TK (WMD 11.92, 95% CI (6.99, 16.86), P < 0.00001) (Additional file 1: Figure S2), larger preoperative PJA (WMD 0.72, 95% CI (0.03, 1.41), P=0.04) (Additional file 1: Figure S4), larger postoperative PJA (WMD 5.54, 95% CI (3.57, 7.52), P<0.00001) (Additional file 1: Figure S4), larger follow-up PJA (WMD 12.42, 95% CI 9.24, 15.60), *P* < 0.00001) (Additional file 1: Figure S4), larger follow-up SVA (WMD 0.07, 95% CI (-0.46, 0.60), P=0.04) (Additional file 1: Figure S5), larger preoperative PT (WMD -3.04, 95% CI (-5.27, -0.81), P=0.008) (Additional file 1: Figure S7), larger follow-up PT (WMD -3.69, 95% CI (-6.66, -0.72), P=0.02) (Additional file 1: Figure S7) were identified as risk factors for PJK in patients with Lenke 5 AIS.

However, no significant associations were discerned between larger preoperative LL (WMD -11.72, 95% CI (-36.09, 12.64), P=0.35) (Additional file 1: Figure S3), larger postoperative LL (WMD 2.25, 95% CI (-1.40, 5.90), P=0.23) (Additional file 1: Figure S3),

larger follow-up LL (WMD 3.14, 95% CI (-1.46, 77.74), P=0.18) (Additional file 1: Figure S3), preoperative SVA (WMD -0.41, 95% CI (-1.05, 0.23), P=0.21) (Additional file 1: Figure S5), follow-up SVA (WMD 0.07, 95% CI (-0.46, 0.60), P=0.79) (Additional file 1: Figure S5), preoperative PI (WMD - 5.62, 95% CI (-11.80, 0.56), P=0.07) (Additional file 1: Figure S6), postoperative PI (WMD -5.66, 95% CI (-14.60, 3.28), P=0.21) (Additional file 1: Figure S6), follow-up PI (WMD - 5.89, 95% CI (-14.69, 2.92), P=0.19) (Additional file 1: Figure S6), postoperative PT (WMD - 3.95, 95% CI (-8.43, 0.53), P=0.08) (Additional file 1: Figure S7), preoperative SS (WMD – 0.49, 95% CI (– 2.14, 1.16), P=0.56) (Additional file 1: Figure S8), postoperative SS (WMD -0.21, 95%) CI (-1.87, 1.45), P=0.80 (Additional file 1: Figure S8), follow-up SS (WMD 0.47, 95% CI (-1.42, 2.37), P=0.62) (Additional file 1: Figure S8) and occurrence of PJK.

#### Sensitivity analyses and publication bias

Sensitivity analysis was carried out by individually calculating and subtracting each study from the meta-analysis in order to ascertain the impact of each one. Publication bias was screened using funnel plots. A P < 0.05 was considered statistically significant. An example is indicated by sensitivity analysis showing the funnel plot of age reported in this meta-analysis for PJK and non-PJK groups (Fig. 19). Any study could be excluded after the heterogeneity test without significantly changing the overall statistical significance, showing that the findings of this meta-analysis were stable. Additionally, the funnel plot's shape was symmetrical, indicating that our study did not contain publication bias.

	PJ	K Group		Non-l	PJK Gro	up		Mean Difference	Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% Cl	IV, Random, 95% Cl
1.1.1 Preoperative TK									
Amanullah 2022	54.9	32.4	8	56.2	26.3	17	0.6%	-1.30 [-27.00, 24.40]	
Boeckenfoerde 2022	31.1	13.93	30	23.3	14.93	139	2.3%	7.80 [2.23, 13.37]	
Chen 2019	20.71	12.04	12	18.14	10.33	21	2.0%	2.57 [-5.55, 10.69]	
Chen J 2021	21.4	8.7	15	17.9	13	20	2.1%	3.50 [-3.70, 10.70]	
Clément 2021	33	16	102	27	16	468	2.5%	6.00 [2.57, 9.43]	
Ferrero 2018	32	16	57	27	18	308	2.4%	5.00 [0.39, 9.61]	
Hu 2022	27.4	13.7	23	18.7	8.3	75	2.2%	8.70 [2.79, 14.61]	
Kim 2007	29	14	111	22	14.1	299	2.5%	7.00 [3.94, 10.06]	
Kim 2021	27.2	20.4	7	16.6	10.4	62	1.2%	10.60 [-4.73, 25.93]	
Li 2020	29.51	11.68	10	21.45	9.42	34	2.0%	8.06 (0.16, 15.96)	
Lonner 2017	30.73	12.47	60	21.78	13.28	791	2.5%	8.95 [5.66, 12.24]	
Wang 2020	23	7.4	12	13.3	12.9	40	2.2%	9.70 [3.91, 15.49]	
Wang J 2020	23.91	9.04	21	22.81	9.33	75	2.4%	1.10[-3.31, 5.51]	
Zhao 2018	28.31	7.8	35	20.33	9.1	52	2.5%	7.98 [4.40, 11.56]	
Zhou ZuZi Subtatal (05% CI)	30.7	13.4	13	18.9	8.7	2450	2.0%	11.80 [4.17, 19.43]	
Subiolal (95% CI)	17.01.3	- 45 00	510		200.17	2438	51.5%	0.82 [0.48, 8.10]	•
Heterogeneity: Tau# = 0.47; Chi# = 15.00, df = 14 (P = 0.38); I# = 7% Test for overall effect: Z = 10.00 (P < 0.00001)									
1.1.2 Postoperative TK			_						
Amanullah 2022	35.8	11.9	8	45.9	14.8	17	1.7%	-10.10 [-20.94, 0.74]	
Boeckenfoerde 2022	29.6	9.73	30	23.7	10.01	139	2.4%	5.90 [2.04, 9.76]	
Chen J 2021	33	6.3	21	7.28	5.09	75	2.5%	25.72 [22.79, 28.65]	
Ferrero 2018	33	14	57	34	16	308	2.4%	-1.00 [-5.05, 3.05]	
Hu 2022	26.6	7.4	23	20.6	7.5	75	2.5%	6.00 [2.53, 9.47]	
Kim 2007	23	10.8	111	22	10.3	299	2.5%	1.00 [-1.32, 3.32]	
Kim 2021	25.3	8.4		21.4	8.1	62	2.2%	3.90 [-2.64, 10.44]	
Li 2020	23.5	10.07	10	19.35	8.66	34	2.1%	4.15 [-2.74, 11.04]	
Lonner 2017	20.22	8.23	60	20.9	8.94	791	2.6%	-0.68 [-2.85, 1.49]	
Wang 2020	19.9	12.1	12	12.1	9.8	40	2.0%	7.80 [0.31, 15.29]	
Wang J 2020	15.91	6.43	21	17.6	6.23	75	2.5%	-1.69 [-4.78, 1.40]	
Zhao 2018 Zhao 2024	21.43	8.68	35	19.40	8.69	52	2.4%	1.97 [-1.75, 5.69]	
Znou ZuZi Subtotal (05% CI)	29.3	5.7	13	11.7	8.5	2024	2.4%	11.60 [7.80, 15.40]	
Subiolal (95% CI)	- co. o.	2-070	408	40.00		2024	30.3%	4.40 [-0.47, 9.39]	
Test for overall effect: Z:	= 1.77 (F	P = 0.08)	.47, ui : )	= 12 (P	< 0.0000	JT), I* =	90%		
1.1.3 Follow-up TK									
Amanullan 2022	39.1	16.7	8	46.4	14	17	1.4%	-7.30 [-20.65, 6.05]	
Boeckenfoerde 2022	35.2	11.2	30	25.5	10.64	139	2.4%	9.70 [5.32, 14.08]	
Chen 2019 Ohan 10001	42.34	8.35	12	25.49	13.08	21	2.1%	16.85 [9.53, 24.17]	
Chen J 2021	39.9	7.6	21	25.2	12.5	/5	2.4%	14.70[10.39, 19.01]	
Clement 2021	45	18	102	38	15	408	2.4%	7.00 [3.25, 10.75]	
Hu 2022	31.8	10.2	23	21.5	8.1	10	2.4%	10.30 [0.70, 14.80]	
Kim 2021 Wong 2020	28.2	11.3	10	29.0	32.0	02	1.0%	-1.40 [-13.06, 10.26]	
Wang 2020	30.3	1.3	12	10.3	0.1	40	2.3%	5.00 [10.17, 19.83]	
Wang J 2020 7boo 2010	25.74	9.79	21	19.0	0.33	70	2.470	0.94 [1.30, 10.03]	
Znau 2016 Zhou 2021	20.4	9.40	30	23.08	0.77	52	2.4%	2.32 [-1.02, 0.20]	
Subtotal (95% CI)	30	14.0	28/	21.0	9.4	1021	23.7%	14.40 [0.99, 22.01] 9.06 [5.62, 12.30]	•
Hotorogonoity Tou <sup>2</sup> - 2	0.00.06	iz - 11 G	204	10/0 ~	0 0000-	1001	20.1 /0	0.30 [3.02, 12.30]	•
Test for overall effect: Z:	= 5.26 (F	P < 0.00	001)	10 (F <	0.0000	i), i –	/ U %		
1.1.4 ΔTK									
Boeckenfoerde 2022	-1.5	8.12	30	0.4	11.7	139	2.5%	-1.90 [-5.40, 1.60]	
Chen J 2021	11.6	7.1	21	4.3	7.3	75	2.5%	7.30 [3.84, 10.76]	——
Ferrero 2018	1	15	57	7	17	308	2.4%	-6.00 [-10.33, -1.67]	
Kim 2007	-6	12.2	111	-1	5	299	2.5%	-5.00 [-7.34, -2.66]	
Lonner 2017	-10.52	13.52	60	-0.82	12.26	791	2.5%	-9.70 [-13.23, -6.17]	
Wang J 2020	-8.01	7.69	21	-5.22	6.88	75	2.5%	-2.79 [-6.43, 0.85]	
Subtotal (95% CI)			300			1687	14.8%	-3.00 [-7.47, 1.46]	
Heterogeneity: Tau² = 23 Test for overall effect: Z :	7.98; Ch = 1.32 (f	i² = 52.9 P = 0.19)	15, df = )	5 (P < 0	1.00001)	; <b>I</b> ² = 91	1%		
Total (05% Ch			4500			7050	100.0%	E 40 10 00 7 001	
rotal (95% CI)			1508		- 0.000	7250	100.0%	5.10 [2.80, 7.39]	
Teet for everall effects 7	2.58; Ch - 4.25 (f	n= 559. 2 × 0.00	.78,01° 043	= 44 (P	~ U.UUUI	51); I*=	92%		-żo -io o io żo
Test for outparsum different	- 4.30 (ł	- ~ 0.000 Noi8 – 20	01) 101 AF	- 2/0-	. 0 0000	18-0	6.204		Favours [experimental] Favours [control]
rescioi sundionh qilleti	ences.C	/11 = 20	.z i. ul	- 3 (F =	0.0002		J.270		

Fig. 6 Forest plot of TK between proximal junctional kyphosis (PJK) and non-PJK groups

Study or Subgroup           2.1.1 Preoperative LL           Amanullah 2022           Boeckenfoerde 2022           Chen 2019           Chen J 2021           Clément 2021           Ferrero 2018           Hu 2022           Kim 2021           Li 2020           Lonner 2017           Wang 2020           Zhao 2018           Subtotal (95% CI)           Heterogeneity: Chi² = 658           Fest for overall effect: Z =           2.1.2 Postonerative LI	Mean 41.3 45.7 49.95 -53 61 63 55.2 55.3 52.64 60.82 53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	SD 24.9 13.35 8.2 11.2 11.8 14.2 10.2 12.63 13.1 12.42 9.61 10.2 = 13.(P)	Total 8 30 12 15 102 57 23 7 10 60 12 21 35 13	Mean 48.5 51.42 50.3 58 57 50.6 47.2 47.67 57.43 53.3 52.89 43.77	SD 20.9 11.54 11.77 13.3 11 11 10.7 12 11.43 13.3 15.8 9.88	Total 17 139 21 20 468 308 75 62 34 791 40	Weight 0.1% 1.9% 1.1% 0.8% 9.2% 4.6% 1.8% 0.4% 0.9% 4.6%	IV, Fixed, 95% CI <ul> <li>-7.20 [-27.11, 12.71]</li> <li>0.70 [-4.45, 5.85]</li> <li>-1.47 [-8.32, 5.38]</li> <li>-103.30 [-111.43, -95.17]</li> <li>3.00 [0.64, 5.36]</li> <li>6.00 [2.65, 9.35]</li> <li>4.60 [-0.80, 10.00]</li> <li>8.10 [-2.84, 19.04]</li> <li>4.97 [-2.43, 12.37]</li> <li>3.39 [0.06, 6.72]</li> </ul>	IV, Fixed, 95% Cl
2.1.1 Preoperative LL Amanullah 2022 Boeckenfoerde 2022 Chen 2019 Chen J 2021 Clément 2021 Ferrero 2018 Hu 2022 Kim 2021 Li 2020 Lonner 2017 Wang J 2020 Wang J 2020 Wang J 2020 Wang J 2020 Zhao 2018 Subtotal (95% Cl) Heterogeneity: Chi <sup>2</sup> = 658 Fest for overall effect: Z =	41.3 45.7 49.95 -53 63 55.2 55.3 52.64 60.82 53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	24.9 13.35 8.2 11.2 11.8 14.2 10.2 12.63 13.1 12.42 9.61 10.2 = 13.(P)	8 30 12 15 102 57 23 7 10 60 12 21 35 13	48.5 45 51.42 50.3 57 50.6 47.2 47.67 57.43 53.3 52.89 43.77	20.9 11.54 11.77 13.3 11 10.7 12 11.43 13.3 15.8 9.88	17 139 21 20 468 308 75 62 34 791 40	0.1% 1.9% 1.1% 0.8% 9.2% 4.6% 1.8% 0.4% 0.9% 4.6%	-7.20 [-27.11, 12.71] 0.70 [-4.45, 5.85] -1.47 [-8.32, 5.38] -103.30 [-111.43, -95.17] 3.00 [0.64, 5.36] 6.00 [2.65, 9.35] 4.60 [-0.80, 10.00] 8.10 [-2.84, 19.04] 4.97 [-2.43, 12.37] 3.39 [0.06, 6.72]	
Amanullah 2022 Boeckenfoerde 2022 Chen 2019 Clément 2021 Ferrero 2018 Hu 2022 Kim 2021 Li 2020 Lonner 2017 Wang 2020 Wang J 2020 Zhao 2018 Zhou 2021 Subtotal (95% CI) Heterogeneity: Chi <sup>2</sup> = 656 Test for overall effect: Z =	41.3 45.7 49.95 -53 61 63 55.2 55.3 52.64 60.82 53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	24.9 13.35 8.2 11.2 11. 12 11.8 14.2 10.2 12.63 13.1 12.42 9.61 10.2	8 30 12 15 23 7 10 60 12 21 35 13	48.5 45 51.42 50.3 58 57 50.6 47.2 47.67 57.43 53.3 52.89 43.77	20.9 11.54 11.77 13.3 11 10.7 12 11.43 13.3 15.8 9.88	17 139 21 20 468 308 75 62 34 791 40	0.1% 1.9% 1.1% 0.8% 9.2% 4.6% 1.8% 0.4% 0.9% 4.6%	-7.20 [-27.11, 12.71] 0.70 [-4.45, 5.85] -1.47 [-8.32, 5.38] -103.30 [-111.43, -95.17] 3.00 [0.64, 5.36] 6.00 [2.65, 9.35] 4.60 [-0.80, 10.00] 8.10 [-2.84, 19.04] 4.97 [-2.43, 12.37] 3.39 [0.06, 6.72]	
Boeckenfoerde 2022 Chen 2019 Chen J 2021 Clément 2021 Ferrero 2018 Hu 2022 Kim 2021 Li 2020 Lonner 2017 Wang J 2020 Wang J 2020 Zhao 2018 Zhao 2018 Zhou 2021 Subtotal (95% Cl) Heterogeneity: Chi <sup>2</sup> = 658 Test for overall effect: Z = 2.12 Postoperative LI	45.7 49.95 -53 61 63 55.2 55.3 52.64 60.82 53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	13.35 8.2 11.2 11 12 11.8 14.2 12.63 13.1 12.42 9.61 10.2 = 13./P	30 12 15 102 57 23 7 10 60 12 21 35 13	45 51.42 50.3 58 57 50.6 47.2 47.67 57.43 53.3 52.89 43.77	11.54 11.77 13.3 11 10.7 12 11.43 13.3 15.8 9.88	139 21 20 468 308 75 62 34 791 40	1.9% 1.1% 0.8% 9.2% 4.6% 1.8% 0.4% 0.9% 4.6%	0.70 [-4.45, 5.85] -1.47 [-8.32, 5.38] -103.30 [-111.43, -95.17] 3.00 [0.64, 5.36] 6.00 [2.65, 9.35] 4.60 [-0.80, 10.00] 8.10 [-2.84, 19.04] 4.97 [-2.43, 12.37] 3.39 [0.06, 6.72]	
Chen 2019 Chen J 2021 Clément 2021 Ferrero 2018 Hu 2022 Kim 2021 Li 2020 Lonner 2017 Wang J 2020 Wang J 2020 Zhao 2018 Zhao 2018 Zhou 2021 Subtotal (95% CI) Heterogeneity: Chi <sup>2</sup> = 658 Test for overall effect: Z = 2.12 Postoperative LI	49.95 -53 61 63 55.2 52.64 60.82 53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	8.2 11.2 11 12 11.8 14.2 10.2 12.63 13.1 12.42 9.61 10.2 = 13 (P -	12 15 102 57 23 7 10 60 12 21 35 13	51.42 50.3 58 57 50.6 47.2 47.67 57.43 53.3 52.89 43.77	11.77 13.3 11 10.7 12 11.43 13.3 15.8 9.88	21 20 468 308 75 62 34 791 40	1.1% 0.8% 9.2% 4.6% 1.8% 0.4% 0.9% 4.6%	-1.47 [-8.32, 5.38] -103.30 [-111.43, -95.17] 3.00 [0.64, 5.36] 6.00 [2.65, 9.35] 4.60 [-0.80, 10.00] 8.10 [-2.84, 19.04] 4.97 [-2.43, 12.37] 3.39 [0.06, 6.72]	
Chen J 2021 Clément 2021 Ferrero 2018 Hu 2022 Kim 2021 Li 2020 Lonner 2017 Wang 2020 Wang 2020 Wang J 2020 Zhao 2018 Zhou 2021 Subtotal (95% CI) Heterogeneity: Chi <sup>2</sup> = 658 Test for overall effect: Z = 2.12 Postoperative II	-53 61 63 55.2 55.3 52.64 60.82 53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	11.2 11 12 11.8 14.2 10.2 12.63 13.1 12.42 9.61 10.2 = 13 (P -	15 102 57 23 7 10 60 12 21 35 13	50.3 58 57 50.6 47.2 47.67 57.43 53.3 52.89 43.77	13.3 11 10.7 12 11.43 13.3 15.8 9.88	20 468 308 75 62 34 791 40	0.8% 9.2% 4.6% 1.8% 0.4% 0.9% 4.6%	-103.30 [-111.43, -95.17] 3.00 [0.64, 5.36] 6.00 [2.65, 9.36] 4.60 [-0.80, 10.00] 8.10 [-2.84, 19.04] 4.97 [-2.43, 12.37] 3.39 [0.06, 6.72]	
Clément 2021 Ferrero 2018 Hu 2022 Kim 2021 Li 2020 Lonner 2017 Wang 2020 Wang J 2020 Zhao 2018 Zhou 2021 Subtotal (95% Cl) Heterogeneity: Chi <sup>2</sup> = 658 Fest for overall effect: Z =	61 63 55.2 55.3 52.64 60.82 53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	11 12 11.8 14.2 10.2 12.63 13.1 12.42 9.61 10.2 = 13 (P -	102 57 23 7 10 60 12 21 35 13	58 57 50.6 47.2 47.67 57.43 53.3 52.89 43.77	11 10.7 12 11.43 13.3 15.8 9.88	468 308 75 62 34 791 40	9.2% 4.6% 1.8% 0.4% 0.9% 4.6%	3.00 [0.64, 5.36] 6.00 [2.65, 9.35] 4.60 [-0.80, 10.00] 8.10 [-2.84, 19.04] 4.97 [-2.43, 12.37] 3.39 [0.06, 6.72]	
Ferrero 2018 Hu 2022 Kim 2021 Li 2020 Wang 2020 Wang J 2020 Zhao 2018 Zhou 2021 Subtotal (95% CI) Heterogeneity: Chi <sup>2</sup> = 658 Fest for overall effect: Z =	63 55.2 55.3 52.64 60.82 53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	12 11.8 14.2 12.63 13.1 12.42 9.61 10.2 = 13.0P	57 23 7 10 60 12 21 35 13	57 50.6 47.2 47.67 57.43 53.3 52.89 43.77	11 10.7 12 11.43 13.3 15.8 9.88	308 75 62 34 791 40	4.6% 1.8% 0.4% 0.9% 4.6%	6.00 [2.65, 9.35] 4.60 [-0.80, 10.00] 8.10 [-2.84, 19.04] 4.97 [-2.43, 12.37] 3.39 [0.06, 6.72]	
Hu 2022 Kim 2021 Li 2020 Lonner 2017 Wang 2020 Wang J 2020 Zhao 2018 Zhao 2018 Zhou 2021 Subtotal (95% Cl) Heterogeneity: Chi <sup>2</sup> = 658 Test for overall effect: Z = 2.12 Postoperative LI	55.2 55.3 52.64 60.82 53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	11.8 14.2 10.2 12.63 13.1 12.42 9.61 10.2 = 13./P	23 7 10 60 12 21 35 13	50.6 47.2 47.67 57.43 53.3 52.89 43.77	10.7 12 11.43 13.3 15.8 9.88	75 62 34 791 40	1.8% 0.4% 0.9% 4.6%	4.60 [-0.80, 10.00] 8.10 [-2.84, 19.04] 4.97 [-2.43, 12.37] 3.39 [0.06, 6.72]	
kim 2021 Li 2020 Lonner 2017 Wang 2020 Wang J 2020 Zhao 2018 Zhou 2021 Subtotal (95% CI) Heterogeneity: Chi <sup>2</sup> = 658 Fest for overall effect: Z = 2.12 Postoperative LI	55.3 52.64 60.82 53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	14.2 10.2 12.63 13.1 12.42 9.61 10.2 = 13./P	7 10 60 12 21 35 13	47.2 47.67 57.43 53.3 52.89 43.77	12 11.43 13.3 15.8 9.88	62 34 791 40	0.4% 0.9% 4.6%	8.10 [-2.84, 19.04] 4.97 [-2.43, 12.37] 3.39 [0.06, 6.72]	
Li 2020 Lonner 2017 Wang 2020 Wang J 2020 Zhao 2018 Zhou 2021 Subtotal (95% CI) Heterogeneity: Chi <sup>2</sup> = 658 Fest for overall effect: Z = 2.1.2 Postonerative I I	52.64 60.82 53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	10.2 12.63 13.1 12.42 9.61 10.2 = 13./P	10 60 12 21 35 13	47.67 57.43 53.3 52.89 43.77	11.43 13.3 15.8 9.88	34 791 40	0.9%	4.97 [-2.43, 12.37] 3.39 [0.06, 6.72]	+
Lanner 2017 Wang 2020 Wang J 2020 Zhao 2018 Zhou 2021 Subtotal (95% CI) Heterogeneity: Chi <sup>2</sup> = 658 Fest for overall effect: Z = 2.1.2 Postoperative I I	60.82 53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	12.63 13.1 12.42 9.61 10.2	60 12 21 35 13	57.43 53.3 52.89 43.77	13.3 15.8 9.88	791 40	4.6%	3.39 [0.06, 6.72]	
Wang 2020 Wang 2020 Zhao 2018 Zhou 2021 Subtotal (95% CI) Heterogeneity: Chi <sup>2</sup> = 658 Test for overall effect: Z = 2.1.2 Postoperative I I	53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	12.03 13.1 12.42 9.61 10.2 = 13./P	12 21 35 13	53.3 52.89 43.77	15.8 9.88	40	4.0%	3.33 [0.00, 0.72]	
Wang J 2020 Wang J 2020 Zhao 2018 Zhou 2021 Subtotal (95% CI) Heterogeneity: Chi <sup>2</sup> = 658 Test for overall effect: Z = 2.1.2 Postoperative I I	53.5 54.04 49.17 57.7 3.83, df: 1.56 (P	12.42 9.61 10.2	21 35 13	52.89 43.77	9.88	40	11 6.02	000000000	
wang 5 2020 Zhao 2018 Zhou 2021 Subtotal (95% CI) Heterogeneity: Chi <sup>2</sup> = 658 Test for overall effect: Z = 2.1.2 Postoperative II	54.04 49.17 57.7 3.83, df: 1.56 (P	9.61 10.2	21 35 13	52.89 43.77	9.88	75	4.50		
Zhao 2018 Zhou 2021 Subtotal (95% CI) Heterogeneity: Chi² = 658 Test for overall effect: Z = 2.1.2 Postoperative I I	49.17 57.7 3.83, df: 1.56 (P	9.61 10.2 = 13.(P	35 13	43.11	10.55	75	1.5%	1.15[-4.61, 6.91]	
Zhou 2021 Subtotal (95% CI) Heterogeneity: Chi² = 658 Test for overall effect: Z = 2.1.2 Postonerative I I	57.7 3.83, df: 1.56 (P	10.2 = 13 (P -	13		10.55	52	2.8%	5.40 [1.12, 9.68]	
Subtotal (95% CI) Heterogeneity: Chi² = 658 Test for overall effect: Z = 2.1.2 Postoperative I I	3.83, df: 1.56 (P	= 13 (P ·		50.7	10.5	57	1.3%	7.00 [0.82, 13.18]	
Heterogeneity: Chi² = 658 Test for overall effect: Z = 2.1.2 Postoperative I I	3.83, df: 1.56 (P	= 13 (P ·	405			2159	31.7%	1.01 [-0.26, 2.28]	<b>•</b>
2.1.2 Postoperative LL		= 0.12)	< 0.000	JU1); I*=	98%				
LINE I COLOPOI ANTO LE									
Amanullah 2022	43.3	13.2	8	44.3	14.8	17	0.4%	-1.00 [-12.54, 10.54]	
Boeckenfoerde 2022	44.4	13.83	30	41	11.48	139	1.8%	3.40 [-1.90, 8.70]	+
Ferrero 2018	57	11	57	56	11	308	5.3%	1.00 [-2.11, 4.11]	+
Hu 2022	54.4	9.1	23	50	8.8	75	2.9%	4.40 [0.18, 8.62]	——
Kim 2021	49.6	12	7	46.2	12.7	62	0.6%	3.40 [-6.03, 12,83]	<u> </u>
Li 2020	47.2	7.61	10	52.64	10.2	34	1.5%	-5 44 [-11.27, 0.39]	
Lonner 2017	61 73	11.57	60	58.42	13.54	791	5.4%	3 31 [0 23 6 39]	<b>⊢</b> ⊷
2011161 2017 Wana 2020	62.4	121	12	60.42	11.04	40	0.470	2 50 1 5 75 10 75	
Wang 2020	40.74	0.40	24	42.40	12.04	40	0.0%	2.50 [-5.75, 10.75]	
Wang J 2020	43.71	9.19	21	42.48	13.04	/5	2.1%	1.23 [-3.69, 6.15]	
2nao 2018	46.29	9.3	35	43.77	8.58	52	3.4%	2.52 [-1.34, 6.38]	
2nou 2021	56.4	8.9	13	50.1	8.6	57	1.8%	6.30 [0.97, 11.63]	
Test for overall effect: Z =	3.22 (P	= 0.001	)						
2. 1.3 Follow-up EL	40	125		477	46.4	17	0.400	0 20 ( 11 25 11 05)	
Rinanunan 2022	40	12.0	20	41.1	10.4	400	0.4 %		
Sueckeniluerde 2022	40.83	13.8	30	45.75	12.18	139	1.8%	1.08 [-4.20, 6.42]	
Chen 2019	61.73	9.33	12	55.5	1.11	21	1.3%	6.23 [-0.01, 12.47]	
Chen J 2021	-9.6	9.9	21	-3.1	6	75	2.6%	-6.50 [-10.95, -2.05]	
Clément 2021	64	9	102	62	12	468	12.1%	2.00 [-0.06, 4.06]	<b>F-</b>
Hu 2022	57.1	8.6	23	50.9	9	75	3.1%	6.20 [2.14, 10.26]	
Kim 2021	58.9	15.2	7	55	11.7	62	0.4%	3.90 [-7.73, 15.53]	
Wang 2020	53.6	13.2	12	48.5	13.7	40	0.7%	5.10 [-3.49, 13.69]	
Wang J 2020	55.2	11.9	21	47.56	9.45	75	1.7%	7.64 [2.12, 13.16]	
Zhao 2018	46.37	9.65	35	44.9	8.45	52	3.3%	1.47 [-2.47, 5.41]	- <b>-</b>
Zhou 2021	58.8	8.9	13	51.1	9.1	57	1.8%	7.70 [2.32, 13.08]	———
Subtotal (95% CI)			284			1081	29.1%	2.51 [1.19. 3.84]	◆
	52, df=	10 (P = = 0.000	0.001) )2)	; I² = 659	%				
Heterogeneity: Chi² = 28. Test for overall effect: Z =	3.71 (P								
Heterogeneity: Chi <sup>2</sup> = 28. Test for overall effect: Z =	3.71 (P								
Heterogeneity: Chi <sup>2</sup> = 28. Test for overall effect: Z = 2.1.4 ΔLL	3.71 (P	105	0	477	16.4	47	0.40	0 20 [ 14 26 44 06]	
Heterogeneity: Chi <sup>2</sup> = 28. Test for overall effect: Z = 2.1.4 ΔLL Amanullah 2022	3.71 (P	12.5	8	47.7	16.4	17	0.4%	0.30 [-11.35, 11.95]	
Heterogeneity: Chi <sup>2</sup> = 28. Test for overall effect: Z = 2.1.4 ΔLL Amanullah 2022 Ferrero 2018	3.71 (P 48 -6	12.5 11.5	8 57	47.7	16.4 11	17 308	0.4% 4.9%	0.30 [-11.35, 11.95] -5.00 [-8.23, -1.77]	
Heterogeneity: Chi <sup>2</sup> = 28. Test for overall effect: Z = 2.1.4 ALL Amanullah 2022 Ferrero 2018 Lonner 2017	3.71 (P 48 -6 0.92	12.5 11.5 15.08	8 57 60	47.7 -1 0.97	16.4 11 14.43	17 308 791	0.4% 4.9% 3.3%	0.30 [-11.35, 11.95] -5.00 [-8.23, -1.77] -0.05 [-4.00, 3.90]	
Heterogeneity: Chi <sup>2</sup> = 28. Test for overall effect: Z = 2.1.4 ALL Amanullah 2022 Ferrero 2018 Lonner 2017 Wang J 2020	3.71 (P 48 -6 0.92 -10.33	12.5 11.5 15.08 11.6	8 57 60 21	47.7 -1 0.97 -10.41	16.4 11 14.43 9.84	17 308 791 75	0.4% 4.9% 3.3% 1.7%	0.30 [-11.35, 11.95] -5.00 [-8.23, -1.77] -0.05 [-4.00, 3.90] 0.08 [-5.36, 5.52]	
Heterogeneity: Chi <sup>2</sup> = 28. Test for overall effect: Z = 2.1.4 ALL Amanullah 2022 Ferrero 2018 Lonner 2017 Wang J 2020 - Zhao 2018	3.71 (P 48 -6 0.92 -10.33 -2.8	12.5 11.5 15.08 11.6 9.63	8 57 60 21 35	47.7 -1 0.97 -10.41 1.13	16.4 11 14.43 9.84 9.67	17 308 791 75 52	0.4% 4.9% 3.3% 1.7% 3.0%	0.30 [-11.35, 11.95] -5.00 [-8.23, -1.77] -0.05 [-4.00, 3.90] 0.08 [-5.36, 5.52] -3.93 [-8.06, 0.20]	
Heterogeneity: Chi <sup>2</sup> = 28. Test for overall effect: Z = 2.1.4 ALL Amanullah 2022 Ferrero 2018 Lonner 2017 Wang J 2020 Zhao 2018 Subtotal (95% CI)	3.71 (P 48 -6 0.92 -10.33 -2.8	12.5 11.5 15.08 11.6 9.63	8 57 60 21 35 <b>181</b>	47.7 -1 0.97 -10.41 1.13	16.4 11 14.43 9.84 9.67	17 308 791 75 52 <b>1243</b>	0.4% 4.9% 3.3% 1.7% 3.0% <b>13.3</b> %	0.30 [-11.35, 11.95] -5.00 [-8.23, -1.77] -0.05 [-4.00, 3.90] 0.08 [-5.36, 5.52] -3.93 [-8.06, 0.20] - <b>2.72 [-4.69, -0.76]</b>	
Heterogeneity: Chi <sup>2</sup> = 28. Test for overall effect: Z = 2.1.4 ALL Amanullah 2022 Ferrero 2018 Lonner 2017 Wang J 2020 Zhao 2018 Subtotal (95% CI) Heterogeneity: Chi <sup>2</sup> = 5.2 Fest for overall effect: Z =	3.71 (P 48 -6 0.92 -10.33 -2.8 8, df = 4 2.72 (P	12.5 11.5 15.08 11.6 9.63 (P = 0.2 = 0.008	8 57 60 21 35 <b>181</b> 26); I <sup>2</sup> = i)	47.7 -1 0.97 -10.41 1.13 : 24%	16.4 11 14.43 9.84 9.67	17 308 791 75 52 <b>1243</b>	0.4% 4.9% 3.3% 1.7% 3.0% <b>13.3</b> %	0.30 [-11.35, 11.95] -5.00 [-8.23, -1.77] -0.05 [-4.00, 3.90] 0.08 [-5.36, 5.52] -3.93 [-8.06, 0.20] - <b>2.72 [-4.69, -0.76]</b>	•
Heterogeneity: Chi <sup>2</sup> = 28. Test for overall effect: Z = 2.1.4 ALL Amanullah 2022 Ferrero 2018 Lonner 2017 Wang J 2020 Zhao 2018 Subtotal (95% Cl) Heterogeneity: Chi <sup>2</sup> = 5.2 Test for overall effect: Z = Lotal (95% Cl)	3.71 (P 48 -6 0.92 -10.33 -2.8 8, df = 4 2.72 (P	12.5 11.5 15.08 11.6 9.63 (P = 0.1 = 0.008	8 57 60 21 35 <b>181</b> 26); I² = ))	47.7 -1 0.97 -10.41 1.13 : 24%	16.4 11 14.43 9.84 9.67	17 308 791 75 52 1243	0.4% 4.9% 3.3% 1.7% 3.0% <b>13.3</b> %	0.30 [-11.35, 11.95] -5.00 [-8.23, -1.77] -0.05 [-4.00, 3.90] 0.08 [-5.36, 5.52] -3.93 [-8.06, 0.20] -2.72 [-4.69, -0.76]	
Heterogeneity: Chi <sup>2</sup> = 28. Test for overall effect: Z = 2.1.4 ALL Amanullah 2022 Ferrero 2018 Lonner 2017 Wang J 2020 Zhao 2018 Subtotal (95% CI) Heterogeneity: Chi <sup>2</sup> = 5.2 Test for overall effect: Z = Fotal (95% CI)	3.71 (P 48 -6 0.92 -10.33 -2.8 8, df = 4 2.72 (P	12.5 11.5 15.08 11.6 9.63 (P = 0.1 = 0.006	8 57 60 21 35 <b>181</b> 26); I² = )) <b>1146</b>	47.7 -1 0.97 -10.41 1.13 : 24%	16.4 11 14.43 9.84 9.67	17 308 791 75 52 1243 6133	0.4% 4.9% 3.3% 1.7% 3.0% <b>13.3</b> %	0.30 [-11.35, 11.95] -5.00 [-8.23, -1.77] -0.05 [-4.00, 3.90] 0.08 [-5.36, 5.52] -3.93 [-8.06, 0.20] - <b>2.72 [-4.69, -0.76]</b>	
Heterogeneity: Chi <sup>2</sup> = 28. Test for overall effect: Z = 2.1.4 ALL Amanullah 2022 Ferrero 2018 Lonner 2017 Wang J 2020 Zhao 2018 Subtotal (95% Cl) Heterogeneity: Chi <sup>2</sup> = 5.2: Test for overall effect: Z = Fotal (95% Cl) Heterogeneity: Chi <sup>2</sup> = 725	3.71 (P 48 -6 0.92 -10.33 -2.8 8, df = 4 2.72 (P 5.89, df =	12.5 11.5 15.08 11.6 9.63 • (P = 0.1 = 0.008	8 57 60 21 35 <b>181</b> 26); I <sup>≭</sup> = ≫) <b>1146</b> < 0.000	47.7 -1 0.97 -10.41 1.13 : 24%	16.4 11 14.43 9.84 9.67 94%	17 308 791 75 52 1243 6133	0.4% 4.9% 3.3% 1.7% 3.0% <b>13.3</b> %	0.30 [-11.35, 11.95] -5.00 [-8.23, -1.77] -0.05 [-4.00, 3.90] 0.08 [-5.36, 5.52] -3.93 [-8.06, 0.20] -2.72 [-4.69, -0.76]	

Fig. 7 Forest plot of LL between proximal junctional kyphosis (PJK) and non-PJK groups



Fig. 8 Forest plot of PJA between proximal junctional kyphosis (PJK) and non-PJK groups

# Discussion

The incidence of PJK in patients with AIS was 19%. Before the typing comparison was performed, this metaanalysis found that sex, larger preoperative TK, larger follow-up TK, larger postoperative LL, larger follow-up LL, great LL change, larger postoperative PJA, larger followup PJA, larger postoperative PI–LL, larger follow-up PI– LL, larger preoperative SVA, larger preoperative SS and RCA were identified as risk factors for PJK in AIS after correction surgery.

A frequent side effect of spinal deformity surgery is PJK. Numerous factors, including demographic, surgical, and radiological parameters, contribute to the development of PJK. Patients with AIS undergo orthopedic surgery to reconstruct coronal and sagittal alignment to maintain spinal stability [32]. Acute proximal junctional kyphosis can be caused by a fracture of the UIV during the chronic course or by deformation of the interspinous ligament and facet joint components at the level of the UIV [33]. The occurrence of PJK has been described as a compensatory mechanism [34] and may result from the postoperative imbalance caused by increased lumbar lordosis (LL), insufficient TK, or a mismatch in thoracolumbar alignment [18, 35]. Regardless of the imaging criteria, PJK can become pathological and lead to proximal junctional failure (PJF) [35], causing pain, neurological dysfunction, and deformity progression, and even requiring secondary surgery.



Fig. 9 Forest plot of PI-LL between proximal junctional kyphosis (PJK) and non-PJK groups

Increasing age can be counted as an important risk factor [36]. The severity of PJK increased with the increase of corrected age. This study did not identify age as a risk factor for PJK. This study mainly included adolescents, so the effect of age on PJK has not been reflected. Further subgroup analysis did not find that age was a risk factor for PJK, either. Initially, Kim found that [37] the male gender was associated with PJK. However, this study verified that the incidence of PJK in women was higher than that in men, which was different from a meta-analysis in 2019 [38] which had not yet found a role for gender in PJK. We hypothesized that women are the risk factors for PJK in AIS, which may be related to the natural anatomy of women, with larger thoracolumbar Angle and greater probability of AIS occurrence [39]. However, gender was not found to be a risk factor for PJK after typing analysis. Due to data limitations, not all studies performed gender subgroup analysis, so this conclusion is disputed. Patient-specific factors, such as obesity, are important considerations before any spinal surgery [7]. These findings do not support that BMI was a risk factor for PJK. The inclusion criteria were likely put in place to allow for group comparison, and further studies are needed to observe whether the incidence of PJK can be improved by controlling body weight. The above results are similar to the conclusions of Peng et al. [40], who found no statistically significant difference in age at surgery and BMI. Zhao et al. [41] also came to a similar conclusion. The current study's findings are generally consistent with earlier findings in terms of these demographic factors.

The relationship between TK, LL, and the incidence of PJK was first examined. It was found that large preoperative TK, large postoperative follow-up TK, postoperative LL, large postoperative follow-up LL and the change of LL were the risk factors of PJK. It is hypothesized that an excessively large TK Angle and an excessive amount of LL correction will increase the prevalence of PJK. The results of Lonner et al. [25] found that the preoperative TK of the PJK group was significantly higher than that of the non-PJK group. Further, logistic regression analysis confirmed that for every 10-degree increase in TK, the risk of PJK increased by 6%. Both Kim and Lafage [42, 43] found a higher incidence of PJK with more corrected LL, and they considered surgical overcorrection as a risk factor. The patient can regain balance by reducing proximal thoracic kyphosis and/or increasing distal lumbar lordosis following surgical repair of AIS, which causes the rebalancing phenomena known as PJK [17]



Fig. 10 Forest plot of SVA between proximal junctional kyphosis (PJK) and non-PJK groups

thus increasing the burden of LL. Strong surgical TK correction does not encourage PJK, but it is beneficial in playing a small compensatory role in mild LL correction. Moreover, Kim et al. [42] found that excessive lordosis and large sagittal balance correction resulted in PJK, which required revision surgery.

The results show that pelvic parameters, such as PI, PT, and SS, are significant factors that must be considered while researching spinal morphology and balance. Pelvic incidence (PI) is a parameter that truly reflects the pelvic anatomy. PT, is an indicator of the compensation degree of spinal deformity, and SS is recognized as an important determinant of lumbar lordosis angle (LL). All three factors together affect the sagittal spinal morphology of AIS. According to studies, aberrant PI may increase the chance of sagittal malalignment following scoliosis fusion surgery, lowering the quality of life and aggravating symptoms [44]. Annis et al. [45] identified elevated PI and pelvic retroversion as factors that increase the risk

of PJK. However, the conclusions of this study have not confirmed the separate association between PI and PT, and PJK. Zhao et al. [41] also found no significant difference in pelvic parameters between the PJK and non-PJK groups; however, they also reported that the association between pelvic parameters and PJK could not be ignored during long-term follow-up. Emmanuelle et al. [18] found that patients with high PI compensated for sagittal imbalance by pelvic reverse tilt; therefore, they were at higher risk of PJK. However, no subgroup analysis of PI was performed in this study. According to several studies, adults who are pathologically involved and asymptomatic show a substantial correlation between PI and LL [46]. Wang et al. demonstrated [47] that restoring the ideal postoperative PI-LL relationship can reduce the PJK rate. Moreover, this study also found that small postoperative PI-LL and follow-up PI-LL were risk factors for PJK. It has been suggested that maintaining a specific degree of curvature between the lumbar spine and pelvis

	PJł	( Group	)	Non-P	JK Gro	oup		Mean Difference	Mean Difference	
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Fixed, 95% CI	IV, Fixed, 95% Cl	
8.1.1 Preoperative S	s									
Chen J 2021	37	7.2	15	38.5	7.1	20	2.8%	-1.50 [-6.29, 3.29]		
Clément 2021	43	9	102	51	12	468	15.0%	-8.00 [-10.06, -5.94]		
Hu 2022	39.6	8.3	23	38.6	7.7	75	4.4%	1.00 [-2.81, 4.81]		
Li 2020	37.34	5.87	10	37.89	8.73	34	2.9%	-0.55 [-5.22, 4.12]		
Wang 2020	33.9	6.9	12	41.9	11.1	40	2.4%	-8.00 [-13.20, -2.80]		
Zhao 2018	35.46	5.4	35	34.69	7.68	52	8.4%	0.77 [-1.98, 3.52]		
Zhou 2021	38.7	8.4	13	37.8	7.6	57	2.6%	0.90 [-4.07, 5.87]		
Subtotal (95% CI)			210			746	38.5%	-3.43 [-4.71, -2.14]	◆	
Heterogeneity: Chi <sup>2</sup> =	Heterogeneity: Chi <sup>2</sup> = 41.06, df = 6 (P < 0.00001); l <sup>2</sup> = 85%									
Test for overall effect:	Z= 5.22	(P < 0	.00001	)						
8.1.2 Postoperative 9	SS									
Hu 2022	37.7	7.4	23	36.6	7.5	75	5.3%	1.10 [-2.37, 4.57]		
Li 2020	37.72	5.8	10	39.7	8.23	34	3.1%	-1.98 [-6.52, 2.56]		
Wang 2020	36.4	8	12	39.9	8.7	40	2.3%	-3.50 [-8.77, 1.77]		
Zhao 2018	38.4	6.87	35	37.69	5.78	52	8.3%	0.71 [-2.06, 3.48]		
Zhou 2021	35.7	6.4	13	36.3	7	57	4.1%	-0.60 [-4.53, 3.33]		
Subtotal (95% CI)			93			258	23.1%	-0.21 [-1.87, 1.45]	<b>•</b>	
Heterogeneity: Chi <sup>2</sup> =	3.09, df	= 4 (P =	= 0.54)	; I <sup>2</sup> = 0%	6					
Test for overall effect:	Z = 0.25	i (P = 0	.80)							
			,							
8.1.3 Follow-up SS										
Clément 2021	42	8	102	42	9	468	20.7%	0.00 [-1.75, 1.75]	-+-	
Hu 2022	37.7	7.7	23	36.9	8	75	4.8%	0.80 [-2.83, 4.43]		
Wang 2020	32.4	12.4	12	37.7	13.5	40	1.0%	-5.30 [-13.47, 2.87]		
Zhao 2018	34.23	6.42	35	33.18	6.8	52	8.0%	1.05 [-1.77, 3.87]		
Zhou 2021	37.3	6.5	13	37	7.7	57	3.9%	0.30 [-3.76, 4.36]		
Subtotal (95% CI)			185			692	38.4%	0.22 [-1.07, 1.51]	◆	
Heterogeneity: Chi <sup>2</sup> =	2.25, df	= 4 (P =	= 0.69)	; I <sup>2</sup> = 0%	5					
Test for overall effect:	Z = 0.33	(P = 0)	.74)							
			,							
Total (95% CI)			488			1696	100.0%	-1.28 [-2.08, -0.48]	•	
Heterogeneity: Chi <sup>2</sup> =	63.88, c	lf=16	P < 0.0	00001);	l² = 75°	%				
Test for overall effect:	Z= 3.15	i (P = 0	.002)						-10 -5 U 5 10	
Test for subaroun diffi	erences:	Chi <sup>2</sup> =	17.47	. df = 2	(P = 0.0	)002). I	<sup>2</sup> = 88.6%		Favours (experimental) Favours (control)	
in 11 Forest plat of	CC botu	(000 D	rovinor	liuncti	opalia	mhaci	(DIV) an	d non DIK groups		

Fig. 11 Forest plot of SS between proximal junctional kyphosis (PJK) and non-PJK groups



Fig. 12 Forest plot of RCA between proximal junctional kyphosis (PJK) and non-PJK groups

following surgery can significantly lower the incidence of PJK. Additionally, PJK risk is also increased by reduced preoperative SS. These findings are partially consistent with the conclusions of Annis et al. [45].

Sagittal anteversion is exacerbated by spinal deformity in AIS, which is balanced by a variety of pelvic factors. The sagittal vertical axis makes it simple to gauge this sagittal imbalance (SVA). A positive sagittal alignment indicates a decompensated mechanism, which gradually advances to low back pain and impaired lung function. This study identified large postoperative SVA as a risk factor for PJK, which is not consistent with the conclusions drawn by Wang [29] and Burton et al. [48]. It is considered that the reason may be the heterogeneity of the population or the severity of the deformity, or the influence of other pelvic parameters. SVA does not, however, enhance the likelihood of PJK after follow-up, most likely due to the compensating function of the spine, which in turn complements the sagittal imbalance.



Fig. 13 Forest plot of PI between proximal junctional kyphosis (PJK) and non-PJK groups

In a study of 87 cases, Zhao et al. [30] observed that increased postoperative PJA was a major risk factor for PJK in Lenke type 5 AIS patients. In this study, there was a correlation between large postoperative PJA and the incidence of follow-up PJA and PJK. Preoperative PJA over 5° has been reported as a risk factor for PIK [49]. Further evidence from biomechanical studies by Cammarata et al. [50], demonstrated that an increase in RCA from 10° to 20°, 30°, and 40° increased PJA by 6%, 13%, and 19%, suggesting that inappropriate bending of an overbent sagittal rod produces PJK. Wang et al. [29] found that the occurrence of PJK should be highly considered in patients with preoperative PJA-RCA greater than 5°. Boeckenfoerde et al. [14] found that high preoperative RCA and increased postoperative PJA-RCA differences were risk factors for PJK. This study also found that high preoperative RCA was a risk factor for PJK, but postoperative PJA-RCA was not associated with the occurrence of PJK. However, due to the small number of included studies, the change in the difference warrants further study. Currently, the majority of studies concentrate on the value of sagittal bar profiles in PJK prevention. To reestablish the proper sagittal equilibrium of the spine, sustained attention should be given to the angle's change in the future.

The study by Li et al. [24] showed that UIV not in the lower thoracic spine was a risk factor of PJK. In this study, the choice of the upper and lower thoracic vertebrae of the UIV did not reflect the difference, which was inconsistent with the results of previous studies. Data collection was limited in the included studies, which may be due to the differences in distinguishing segments, so the conclusions of the studies are controversial.

Correcting the total spinal alignment and balance following surgery can minimize PJK with the use of TK, LL, and PI [51]. Reduced thoracic kyphosis induces reduced cervical and lumbar lordosis to achieve longitudinal stability [52]. In accordance with various PI values, the correction range of the LL should be precisely measured and planned prior to surgery to prevent overcorrection. Additionally, the changes in the PJA, SVA, SS, and RCA should be monitored concurrently to minimize the occurrence of proximal junctional kyphosis (PJK) and restore normal spinal cord balance to maximize functional outcomes and relieve pain [53].

Study or Subgroup         Mean         SD         Total         Mean         SD         Total         Weight         IV, Random, 95% CI           7.1.1 Preoperative PT									
7.1.1 Preoperative PT         Chen J 2021       8.8       7.4       75       12       7.9       20       4.0%       -3.20 [-7.05, 0.65]         Clément 2021       14.5       5.2       62       9       8       468       4.8%       5.50 [4.02, 6.98]         Hu 2022       15.3       7.7       40       6.9       7.4       75       4.4%       8.40 [5.48, 11.32]         Kim 2021       11.56       5.58       75       15.8       2.6       62       4.9%       -4.24 [-5.66, -2.82]									
Chen J 2021       8.8       7.4       75       12       7.9       20       4.0%       -3.20 [-7.05, 0.65]         Clément 2021       14.5       5.2       62       9       8       468       4.8%       5.50 [4.02, 6.98]         Hu 2022       15.3       7.7       40       6.9       7.4       75       4.4%       8.40 [5.48, 11.32]         Kim 2021       11.56       5.58       75       15.8       2.6       62       4.9%       -4.24 [-5.66, -2.82]          Li 2020       11.26       6.17       52       7.23       7.84       34       4.3%       4.03 [0.91, 7.15]									
Clément 2021       14.5       5.2       62       9       8       468       4.8%       5.50 [4.02, 6.98]									
Hu 2022       15.3       7.7       40       6.9       7.4       75       4.4%       8.40 [5.48, 11.32]          Kim 2021       11.56       5.58       75       15.8       2.6       62       4.9%       -4.24 [-5.66, -2.82]          Li 2020       11.26       6.17       52       7.23       7.84       34       4.3%       4.03 [0.91, 7.15]									
Kim 2021         11.56         5.58         75         15.8         2.6         62         4.9%         -4.24 [-5.66, -2.82]            Li 2020         11.26         6.17         52         7.23         7.84         34         4.3%         4.03 [0.91, 7.15]									
Li 2020 11.26 6.17 52 7.23 7.84 34 4.3% 4.03 [0.91, 7.15]									
Wang 2020 9.3 7 57 11 8 40 4.3% -1.70 [-4.77, 1.37]									
Wang J 2020 11.51 6.82 21 10.55 6.69 75 4.2% 0.96 [-2.33, 4.25]									
Zhao 2018 7.26 5.52 35 8.38 5.25 52 4.6% -1.12 [-3.44, 1.20]									
Zhou 2021 4.4 7.9 13 8.2 6.6 57 3.7% -3.80 [-8.42, 0.82]									
Subtotal (95% CI) 430 883 39.2% 0.61 [-2.72, 3.94]									
Heterogeneity: Tau <sup>2</sup> = 23.62; Chi <sup>2</sup> = 130.25, df = 8 (P < 0.00001); l <sup>2</sup> = 94%									
Test for overall effect: Z = 0.36 (P = 0.72)									
7.1.2 Postoperative PT									
Boeckenfoerde 2022 9.9 6.97 30 10.3 7.1 139 4.4% -0.40 [-3.16, 2.36]									
Hu 2022 8.1 8.6 23 9.2 6.4 75 4.0% -1.10 [-4.90, 2.70]									
Kim 2021 17.1 8 7 18.1 5.4 62 3.1% -1.00 [-7.08, 5.08]									
Li 2020 3.31 9.14 10 3.68 8.43 34 3.0% -0.37 [-6.70, 5.96]									
Wang 2020 -2.6 8.9 12 13.1 11.2 40 3.1% -15.70 [-21.82, -9.58]									
Wang J 2020 11.55 7.03 21 13.33 7.61 75 4.2% -1.78 [-5.25, 1.69]									
Zhao 2018 10.89 4.78 35 12.38 7.16 52 4.5% -1.49 [-4.00, 1.02]									
Zhou 2021 7.1 10.3 13 9.8 7 57 3.2% -2.70 [-8.59, 3.19]									
Subtotal (95% Cl) 151 534 29.5% -2.61 [-5.16, -0.05]									
Heterogeneity: Tau <sup>2</sup> = 8.37; Chi <sup>2</sup> = 21.35, df = 7 (P = 0.003); l <sup>2</sup> = 67%									
Test for overall effect: Z = 2.00 (P = 0.05)									
7.1.3 Follow-up PT									
Chen J 2021 5.7 4.8 21 10.5 7.4 75 4.5% -4.80 [-7.45, -2.15]									
Clément 2021 8 8 102 9 9 468 4.8% -1.00 [-2.75, 0.75]									
Hu 2022 7.9 7.8 23 8.8 7.4 75 4.1% -0.90 [-4.50, 2.70]									
Kim 2021 16.8 7.9 7 14.5 5.2 62 3.1% 2.30 [-3.69, 8.29]									
Wang 2020 1.4 13.1 12 15.3 7.7 40 2.5% -13.90 [-21.69, -6.11]									
Wang J 2020 13.63 6.82 21 11.56 5.58 75 4.3% 2.07 [-1.11, 5.25]									
Zhao 2018 10.09 5.88 35 11.26 6.17 52 4.5% -1.17 [-3.74, 1.40]									
Zhou 2021 5.9 8.4 13 9.3 7 57 3.6% -3.40 [-8.31, 1.51]									
Subtotal (95% Cl) 234 904 31.3% -1.87 [-4.05, 0.30]									
Heterogeneity: Tau <sup>2</sup> = 6.08; Chi <sup>2</sup> = 23.14, df = 7 (P = 0.002); l <sup>2</sup> = 70%									
Test for overall effect: Z = 1.69 (P = 0.09)									
Total (95% Cl) 815 2321 100.0% -1.22 [-2.93, 0.50]									
Heterogeneity: Tau <sup>2</sup> = 15.24; Chi <sup>2</sup> = 191.12; df = 24 (P < 0.00001); l <sup>2</sup> = 87%									
Test for overall effect: $Z = 1.39$ (P = 0.16) $-20$ -10 0 10 20									
Test for subgroup differences: Chi <sup>2</sup> = 2.34, df = 2 (P = 0.31), l <sup>2</sup> = 14.6% Favours [experimental] Favours [control]									

Fig. 14 Forest plot of PT between proximal junctional kyphosis (PJK) and non-PJK groups

In our research, we discovered that Lenke 5 AIS sufferers faced a greater risk of PJK formation postorthopedic surgery compared to others. Strikingly, LL did not pose an increased risk for PJK. This result contrasts with the general findings. This finding suggests that different patient types require distinct considerations. For Lenke 5 AIS patients, the spotlight fell on TK indicators. Considering the limited number of indicators for other types of AIS in the existing literature, a meta-analysis was challenging to pinpoint the corresponding indicators. Thus, understanding the risk factors leading to PJK postoperatively becomes more specific when considering treatment based on Lenke's typology.



Fig. 15 Forest plot of postoperative PJA-RCA between proximal junctional kyphosis (PJK) and non-PJK groups



Fig. 16 Forest plot of UIV between proximal junctional kyphosis (PJK) and non-PJK groups

				Risk Difference	Risk Difference
Study or Subgroup	<b>Risk Difference</b>	SE	Weight	IV, Random, 95% Cl	IV, Random, 95% Cl
13.3.1 Lenke 5					
Chen 2019	0.3636	0.084	6.9%	0.36 [0.20, 0.53]	· · · · · · · · · · · · · · · · · · ·
Chen J 2021	0.4286	0.084	6.9%	0.43 [0.26, 0.59]	
Hu 2022	0.2347	0.051	8.8%	0.23 [0.13, 0.33]	
Li 2020	0.2273	0.042	9.2%	0.23 [0.14, 0.31]	
Wang 2020	0.23	0.018	10.1%	0.23 [0.19, 0.27]	-
Zhao 2018	0.271	0.048	8.9%	0.27 [0.18, 0.37]	
Zhou 2021	0.186	0.047	9.0%	0.19 [0.09, 0.28]	
Subtotal (95% CI)			59.9%	0.25 [0.21, 0.29]	•
Heterogeneity: Tau <sup>2</sup> =	0.00; Chi <sup>2</sup> = 9.35,	df = 6 (F	<sup>o</sup> = 0.16);	I <sup>2</sup> = 36%	
Test for overall effect:	Z = 11.68 (P < 0.0	0001)			
13.3.2 Others					
Clément 2021	0.18	0.021	10.1%	0.18 (0.14, 0.22)	
Ferrero 2018	0.017	0.007	10.3%	0.02 (0.00, 0.03)	-
Ghailane 2017	0.028	0.023	10.0%	0.03 [-0.02, 0.07]	
Ogura 2021	0.043	0.031	9.7%	0.04 [-0.02, 0.10]	
Subtotal (95% CI)			40.1%	0.07 [-0.01, 0.14]	◆
Heterogeneity: Tau <sup>2</sup> =	0.01: Chi <sup>2</sup> = 54.37	. df = 3	(P < 0.00	001); I <sup>2</sup> = 94%	
Test for overall effect:	Z = 1.69 (P = 0.09)	j l			
Total (95% CI)			100.0%	0.19 [0.11, 0.26]	•
Heterogeneity: Tau <sup>2</sup> =	0.01; Chi <sup>2</sup> = 246.2	7, df = 1	0 (P < 0.	00001); I <sup>2</sup> = 96%	
Test for overall effect:	Z = 4.89 (P < 0.00	001)			-0.5 -0.25 0 0.25 0.5
Test for subgroup diff	erences: Chi <sup>2</sup> = 16	.40. df=	1 (P < 0	.0001). I <sup>z</sup> = 93.9%	Favours (experimental) Favours (control)

Fig. 17 Subgroup analysis of pooled incidence of proximal junctional kyphosis

# Limitations

All included studies were retrospective. In this paper, the classification of different types of AIS patients was not studied because the classification was not very clear in the included studies. The postoperative SRS-22 score was only briefly discussed in the literature, and this study did not perform subgroup analysis of age, BMI and PI, did not collect various types of spinal deformity, did not include surgical methods like lower fixation cone (LIV), or whether to perform derotation, osteotomy or thoracoplasty. Recently, the attention to screw hook and screw fixation has decreased, and most

studies have not mentioned this aspect, so this paper does not conduct a comprehensive analysis.

#### Conclusion

In this study, we found the incidence of PJK in patients with AIS was 19% after correction surgery, while Lenke 5 is seen in 25%. Future studies could delve into finding the imaging characteristics specific to AIS for enhancing TK correction and preventing overcorrection of LL. Special focus on Lenke type could be beneficial as it can steer pre-operative planning, surgical execution, and potentially helping prevent PJK.



Fig. 18 Subgroup analysis of forest plot of proximal junctional kyphosis between the male and female groups



Fig. 19 Risk of publication bias in the included literature

#### Abbreviations

PJK	Proximal junctional kyphosis
PJF	Proximal junctional failure
UIV	Upper instrumented vertebra
ТК	Thoracic kyphosis
LL	Lumbar lordosis
PJA	Proximal junctional angle
SVA	The sagittal vertical axis
PI	Pelvic incidence
PT	Pelvic tilt
PI-LL	Pelvic incidence–lumbar lordosis
SS	Sacral slope
RCA	Rod contour angle
MPR	Multiple pregnancy rate
95%CI	95%confidence interval
RR	Risk ratio
WMDs	Weighted mean differences
PROSPERO	International prospective register of systematic reviews
PRISMA	Preferred Reporting Items for Reviews and Meta-Analyses

# **Supplementary Information**

The online version contains supplementary material available at https://doi. org/10.1186/s13018-024-04638-7.

Additional file 1. The subgroup analysis of AIS classification.

#### Acknowledgements

We are thankful to all the authors and all the study participants in this study.

#### Author contributions

The authors' contributions are as follows: Xing conceived and designed the experiments. Ji, Wei and Duan carried out the experiments, evaluated the data, and wrote and interpreted the paper.

#### Funding

This study was supported by the Health Commission of Shanxi Province (No. 2020011). The grant contributed to the project design, data collection, and writing of the manuscript.

#### Availability of data and materials

All data generated or analysed during this study are included in this published article.

#### Declarations

**Ethics approval and consent to participate.** Not applicable.

#### Consent for publication

Not applicable.

#### Competing interests

The authors declare that they have no competing interests.

#### Author details

<sup>1</sup>Shanxi Bethune Hospital, Shanxi Academy of Medical Sciences. Tongji Shanxi Hospital, Third Hospital of Shanxi Medical University, Taiyuan 030032, China.
<sup>2</sup>Tongji Hospital, Tongji Medical College Huazhong University of Science and Technology, Wuhan 430030, China.

Received: 25 December 2023 Accepted: 21 February 2024 Published online: 02 April 2024

#### References

- Erwin J, Carlson BB, Bunch J, et al. Impact of unoperated adolescent idiopathic scoliosis in adulthood: a 10-year analysis. Spine Deform. 2020;8(5):1009–16.
- Stylianides GA, Dalleau G, Begon M, et al. Pelvic morphology, body posture and standing balance characteristics of adolescent able-bodied and idiopathic scoliosis girls. PloS one. 2013;8(7):e70205.
- Ritzman TF, Floccari LV. The sagittal plane in spinal fusion for adolescent idiopathic scoliosis. J Am Acad Orthop Surg. 2022;30(14):e957–67.
- Sun Y, Zhang Y, Ma H, et al. Spinal manual therapy for adolescent idiopathic scoliosis: a systematic review and meta-analysis of randomized controlled trials. BioMed Res Int. 2023;2023:7928429.
- Savvides P, Gerdhem P, Grauers A, et al. Self-experienced trunk appearance in individuals with and without idiopathic scoliosis. Spine. 2020;45(8):522–7.
- Essex R, Bruce G, Dibley M, et al. A systematic scoping review and textual narrative synthesis of long-term health-related quality of life outcomes for adolescent idiopathic scoliosis. Int J Orthop Trauma Nurs. 2021;40:100844.
- 7. Kim HJ, Iyer S. Proximal junctional kyphosis (1940-5480 (Electronic)).
- Hollenbeck SM, Glattes RC, Asher MA, et al. The prevalence of increased proximal junctional flexion following posterior instrumentation and arthrodesis for adolescent idiopathic scoliosis. Spine. 2008;33(15):1675–81.
- Glattes R, Bridwell K, Lenke L, et al. Proximal junctional kyphosis in adult spinal deformity following long instrumented posterior spinal fusion: incidence, outcomes, and risk factor analysis. Spine. 2005;30(14):1643–9.
- 10. Cho SK, Kim YJ, Lenke LG. Proximal junctional kyphosis following spinal deformity surgery in the pediatric patient (1067-151X (Print)).
- Raman T, Miller E, Martin CT, et al. The effect of prophylactic vertebroplasty on the incidence of proximal junctional kyphosis and proximal junctional failure following posterior spinal fusion in adult spinal deformity: a 5-year follow-up study (1878-1632 (Electronic)).
- O'leary PT, Bridwell KH, Lenke LG, Good CR, et al. Risk factors and outcomes for catastrophic failures at the top of long pedicle screw constructs: a matched cohort analysis performed at a single center (1528-1159 (Electronic)).
- Amanullah A, Piazza M, Qutteineh B, et al. Risk factors for proximal junctional kyphosis after pediatric spinal deformity surgery with halo gravity traction. Childs Nerv Syst. 2022;38(10):1913–22.
- Boeckenfoerde K, Schulze Boevingloh A, Gosheger G, et al. Risk factors of proximal junctional kyphosis in adolescent idiopathic scoliosisthe spinous processes and proximal rod contouring. J Clin Med. 2022;11(20):6098.
- 15. 陈超, 崔赓, 宋凯, et al. Lenke 5型青少年特发性脊柱侧弯患者术后近 端交界性后凸与脊柱骨盆参数的相关性. 2019;40(6):6.
- Chen J, Fan H, Sui W, et al. Risk and predictive factors for proximal junctional kyphosis in patients treated by Lenke type 5 adolescent idiopathic scoliosis correction. World Neurosurg. 2021;147:e315–23.
- Clement JL, Pesenti S, Ilharreborde B, et al. Proximal junctional kyphosis is a rebalancing spinal phenomenon due to insufficient postoperative thoracic kyphosis after adolescent idiopathic scoliosis surgery. Eur Spine J. 2021;30(7):1988–97.
- Ferrero E, Bocahut N, Lefevre Y, et al. Proximal junctional kyphosis in thoracic adolescent idiopathic scoliosis: risk factors and compensatory mechanisms in a multicenter national cohort. Eur Spine J. 2018;27(9):2241–50.
- Ghailane S, Pesenti S, Peltier E, et al. Posterior elements disruption with hybrid constructs in AIS patients: is there an impact on proximal junctional kyphosis? Arch Orthop Trauma Surg. 2017;137(5):631–5.
- Helgeson M, Shah SA, Newton PO, et al. Evaluation of proximal junctional kyphosis in adolescent idiopathic scoliosis following pedicle screw, hook, or hybrid instrumentation. Spine (Phila Pa 1976). 2010;7(2):515-515.
- 21. Hu B, Wang L, Song Y, et al. Postoperative proximal junctional kyphosis correlated with thoracic inlet angle in Lenke 5c adolescent idiopathic

scoliosis patients following posterior surgery. BMC Musculoskelet Disord. 2022;23(1):919.

- 22. Kim YJ, Lenke LG, Bridwell KH, et al. Proximal junctional kyphosis in adolescent idiopathic scoliosis after 3 different types of posterior segmental spinal instrumentation and fusions: incidence and risk factor analysis of 410 cases. Spine. 2007;32(24):2731–8.
- Kim HJ, Yang JH, Chang DG, et al. Incidence and radiological risk factors of proximal junctional kyphosis in adolescent idiopathic scoliosis following pedicle screw instrumentation with rod derotation and direct vertebral rotation: a minimum 5-year follow-up study. J Clin Med. 2021;10(22):5351.
- Peng L, Lan L, Xiu P, et al. Prediction of proximal junctional kyphosis after posterior scoliosis surgery with machine learning in the Lenke 5 adolescent idiopathic scoliosis patient. Front Bioeng Biotechnol. 2020;8:559387.
- Lonner BS, Ren Y, Newton PO, et al. Risk factors of proximal junctional kyphosis in adolescent idiopathic scoliosis-the pelvis and other considerations. Spine Deform. 2017;5(3):181–8.
- Ogura Y, Glassman SD, Sucato D, et al. Incidence of proximal junctional kyphosis with pedicle screws at upper instrumented vertebrae in posterior spinal fusion for adolescent idiopathic scoliosis. Glob Spine J. 2021;11(7):1019–24.
- Pahys J, Vivas A, Samdani A, et al. Assessment of proximal junctional kyphosis and shoulder balance with proximal screws versus hooks in posterior spinal fusion for adolescent idiopathic. Scoliosis. 2018;43(22):E1322–8.
- Wang G, Li Y, Liu P, et al. Pelvic incidence correlates to sagittal spinal morphology in Lenke 5 adolescent idiopathic scoliosis and influences the proximal junctional kyphosis rate after correction surgery. Eur Spine J. 2021;30(9):2457–66.
- 29. Wang J, Yang N, Luo M, et al. Large difference between proximal junctional angle and rod contouring angle is a risk factor for proximal junctional kyphosis. World Neurosurg. 2020;136:e683–9.
- Zhao J, Yang M, Yang Y, et al. Proximal junctional kyphosis following correction surgery in the Lenke 5 adolescent idiopathic scoliosis patient. J Orthop Sci. 2018;23(5):744–9.
- Zhou Q, Hu B, Yang X, et al. Proximal junctional kyphosis in Lenke 5 AIS patients: the important factor of pelvic incidence. BMC Musculoskelet Disord. 2021;22(1):185.
- Mimura T, Takahashi J, Ikegami S, et al. Can surgery for adolescent idiopathic scoliosis of less than 50 degrees of main thoracic curve achieve good results? J Orthop Sci. 2018;23(1):14–9.
- Denis F, Sun EC, Winter RB. Incidence and risk factors for proximal and distal junctional kyphosis following surgical treatment for Scheuermann kyphosis: minimum five-year follow-up (1528-1159 (Electronic)).
- Alzakri A, Vergari C, Van Den Abbeele M, et al. Global sagittal alignment and proximal junctional kyphosis in adolescent idiopathic. Scoliosis. 2019;7(2):236–44.
- Cerpa M, Sardar Z, Lenke L, The European Spinal Deformity Society, et al. Revision surgery in proximal junctional kyphosis. Eur Spine J. 2020;29:78–85.
- Kim H J, Yagi M, Nyugen J, Cunningham ME, et al. Combined anterior– posterior surgery is the most important risk factor for developing proximal junctional kyphosis in idiopathic scoliosis (1528-1132 (Electronic)).
- Kim YJ, Lenke LG, Bridwell KH, Kim J, et al. Proximal junctional kyphosis in adolescent idiopathic scoliosis after 3 different types of posterior segmental spinal instrumentation and fusions: incidence and risk factor analysis of 410 cases (1528-1159 (Electronic)).
- Zhong J, Cao K, Wang B, et al. Incidence and risk factors for proximal junctional kyphosis in adolescent idiopathic scoliosis after correction surgery: a meta-analysis. World Neurosurg. 2019;125:e326–35.
- 39. Catanzariti JF, Rimetz A, Genevieve F, et al. Idiopathic adolescent scoliosis and obesity: prevalence study. Eur Spine J. 2023;32(6):2196–202.
- Peng L, Lan L, Xiu P, et al. Prediction of proximal junctional kyphosis after posterior scoliosis surgery with machine learning in the Lenke 5 adolescent idiopathic scoliosis patient (2296-4185 (Print)).
- Zhao J, Yang M, Yang Y, et al. Proximal junctional kyphosis following correction surgery in the Lenke 5 adolescent idiopathic scoliosis patient (1436-2023 (Electronic)).
- 42. Kim HJ, Bridwell KH, Lenke LG, Park MS, et al. Patients with proximal junctional kyphosis requiring revision surgery have higher postoperative lumbar lordosis and larger sagittal balance corrections (1528-1159 (Electronic)).

- 43. Lafage R, Schwab F, Glassman S, et al. Age-adjusted alignment goals have the potential to reduce PJK. Spine (Phila Pa 1976). 2017;42(17):1275–82.
- 44. Cho W, Mason J, Smith J, et al. Failure of lumbopelvic fixation after long construct fusions in patients with adult spinal deformity: clinical and radiographic risk factors: clinical article. J Neurosurg Spine. 2013;19(4):445–53.
- 45. Annis P, Lawrence BD, Spiker WR, et al. Predictive factors for acute proximal junctional failure after adult deformity surgery with upper instrumented vertebrae in the thoracolumbar spine (1663-7976 (Print)).
- Sullivan T, Marino N, Reighard F, et al. Relationship between lumbar lordosis and pelvic incidence in the adolescent patient: normal cohort analysis and literature comparison. Spine Deform. 2018;6(5):529–36.
- 47. Wang G, Li Y, Liu P, et al. Pelvic incidence correlates to sagittal spinal morphology in Lenke 5 adolescent idiopathic scoliosis and influences the proximal junctional kyphosis rate after correction surgery (1432-0932 (Electronic)).
- Burton D, Karkenny A, Schulz J, et al. Sagittal spinopelvic changes after posterior spinal fusion in adolescent idiopathic scoliosis. J Child Orthop. 2020;14(6):544–53.
- Hart R, Mccarthy I, O'brien M, et al. Identification of decision criteria for revision surgery among patients with proximal junctional failure after surgical treatment of spinal deformity. Spine. 2013;38(19):E1223–7.
- Cammarata M, Aubin C, Wang X, et al. Biomechanical risk factors for proximal junctional kyphosis: a detailed numerical analysis of surgical instrumentation variables. Spine. 2014;39(8):E500-7.
- Yagi M, Akilah K, Boachie-Adjei OJS. Incidence, risk factors and classification of proximal junctional kyphosis: surgical outcomes review of adult idiopathic scoliosis. Spine. 2011;36(1):E60-8.
- Clément JL, Pelletier Y, Solla F, et al. Surgical increase in thoracic kyphosis increases unfused lumbar lordosis in selective fusion for thoracic adolescent idiopathic scoliosis. Eur Spine J. 2019;28(3):581–9.
- Cheung JPY. The importance of sagittal balance in adult scoliosis surgery (2305-5839 (Print)).

# **Publisher's Note**

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.